香港銅鑼灣怡和街 68 號 21 樓 2101 室

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A corporation Licensed for Type 1 regulated activities under the Securities and Futures Ordinance with CE No.BNB 391and an exchange of the Stock participant of the Stock Exchange of Hong Kong Limited

根據「證券及期貨條例」就第1類受規管活動獲發牌的持牌法團 CE 編號 BNB 391 及香港聯合交易所有限公司 (「聯交所」) 交易所參與者

## **List of Documents Required for Account Opening**

## 開戶所需文件清單

Clients are required to provide original or certified true copies of all documents outlined under the applicable category:

客戶需提供以下相關帳戶所列明的文件正本或驗證副本:

## Individual / Joint Account (個人/聯名帳戶)

| 1. | HKID Card / Passport of Account Holder(s)   |
|----|---|
|    | 身份證/護照  |
|    | (For Mainland Client) PRC ID Card, Exit-Entry Permit to HK/Macau and Landing Record |
|    | (內地客戶)中國身份證,港澳通行證及入境紀錄  |
| 2. | Residential Address Proof (within last three month)                                 |
|    | 住址證明(近三個月內)   |
| 3. | Bank Book / Bank Statement  |
|    | 銀行戶口簿或銀行結單  |

## Corporate Account (公司帳戶)

| 1.  | Board Resolution for Account Opening   |
|-----|--|
|     | 董事會決議開戶摘要  |
| 2.  | Certificate of Incorporation   |
|     | 公司註冊證  |
| 3.  | Business Registration Certificate  |
|     | 商業登記證  |
| 4.  | Certificate of Incumbency  |
|     | 職權證明書  |
| 5.  | Register of Members  |
|     | 股東名冊   |
| 6.  | Register of Directors  |
|     | 董事名冊   |
| 7.  | Memorandum and Articles of Association   |
|     | 公司組織章程大綱及細則  |
| 8.  | HKID Card / Passport of all Major Shareholders, Directors and Authorized Persons     |
|     | 主要股東,董事及授權人仕的身份證/護照  |
| 9.  | Address Proof of the Corporate, Major Shareholders, Directors and Authorized Persons |
|     | 公司,主要股東,董事及授權人仕的地址證明(近三個月內)  |
| 10. | Organization Chart of the Corporate  |
|     | 公司組織結構圖  |